

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
CHRISTIAN HERZIG, ET AL.

Serial Number: : Group Art Unit:

Filed: :

For: ALKENYL-FUNCTIONAL SILOXANE COPOLYMERS AS ANTIMISTING
ADDITIVES FOR SILICONE COATING COMPOSITIONS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

The PTO did not receive the following
listed Items(s) 3 For

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in an Office Action of the German Patent and Trademark Office and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For DE 198 56 115 A1, cited in the German Office Action, an English Derwent Abstract is enclosed.

Signed this 20th day of July, 2004.


Dr. Maria Deffner-Lehner



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHRISTIAN HERZIG et al.

Group Art Unit: Unknown

Serial No.: 10/797,987

Examiner: Unknown

Filed: March 11, 2004

For: ALKENYL-FUNCTIONAL SILOXANE COPOLYMERS AS
ANTIMISTING ADDITIVES FOR SILICONE COATING
COMPOSITIONS

Attorney Docket No.: WAS 0551 PUS1

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 29, 2004

Date of Deposit

William G. Conger
Name of Person Signing


Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Maria Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

If the filing date of this application is on or before June 30, 2003, a copy of each reference listed on the attached Forms PTO/SB08A and/or SB08B is included herewith. If this application was filed after June 30, 2003, copies of any cited U.S. patent/application references have not been included. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

CHRISTIAN HERZIG et al.

By: 

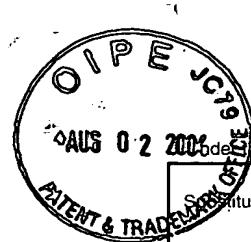
William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: July 29, 2004

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Substitute for Form 1449A/PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number WAS 0551 PUS1

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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|--|---|----|---|---------------------------------|-------------------------|
| <p>Substitute for Form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/797,987 |
| | | | | Filing Date | March 11, 2004 |
| | | | | First Named Inventor | Christian Herzig et al. |
| | | | | Group Art Unit | Unknown |
| | | | | Examiner Name | Unknown |
| Sheet | 2 | of | 2 | Attorney Docket Number | WAS 0551 PUS1 |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| | | | |
|-------------------------------|--|----------------------------|--|
| Examiner Signature | | Date Considered | |
|-------------------------------|--|----------------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.